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DATE: December 17, 2004

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Patent Number

Inventor: Earl Rotman et al.

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FROM: DARBY & DARBY P.C.

Richard J. Katz

PHONE: (212) 527-7700

Attorney Dkt. #: 20107/1200838-US1

PAGES (Including Cover Sheet): 8

CONTENTS: Supplemental Amendment After Non-Final Office Action (4 pages), including Attachment A
(Drawings Replacement Sheet, 3 sheets, Figures 6, 7 and 8)

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DARBY & DARBY P.C.
P.O. Box 5257, New York, New York 10150-5257
Telephone: (212) 527-7700 Facsimile: (212) 753-6237

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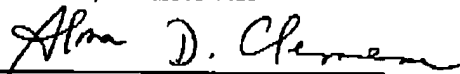
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Earl ROTMAN et al.

Confirmation No. 3328

Serial No.: 10/709,329

Group Art Unit: 3628

Filed: April 28, 2004

Examiner: Nga B. Nguyen

For: SYSTEM AND METHOD FOR CREATING TRADEABLE FINANCIAL UNITS

December 17, 2004

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL AMENDMENT AFTER NON-FINAL OFFICE ACTION

Sir:

Applicant submits this Supplemental Amendment and requests entry of same in the present application.

Amendments to the Drawings begin on page 2 of this paper and include Attachment A, which contains replacement drawing sheets.

Remarks begin on page 3 of this paper.

Serial No.: 10/709,329

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